

Authority Budget of: **ADOPTED COPY**

NORTH HUDSON SEWERAGE AUTHORITY

State Filing Year

2021

ADOPTED COPY

For the Period:

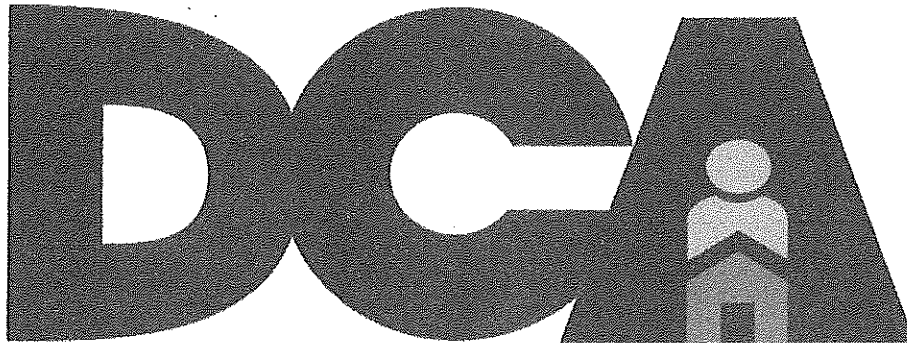
February 1, 2021

to

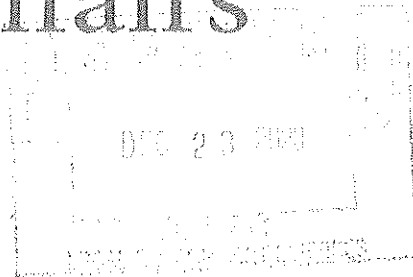
January 31, 2022

www.nhudsonsa.com

Authority Web Address



**NJ DEPARTMENT OF
Community Affairs**



Division of Local Government Services

2021 (2021-2022) AUTHORITY BUDGET

Certification Section

2021 (2021-2022)

NORTH HUDSON SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM FEBRUARY 1, 2021 TO JANUARY 31, 2022

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Cond. Torino Date: _____

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwert CPA, RMA Date: 3/15/2021

2021 (2021-2022) PREPARER'S CERTIFICATION

NORTH HUDSON SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:	<i>Linda Kish</i>		
Name:	Linda Kish		
Title:	Chief Financial Officer		
Address:	1600 Adams Street Hoboken, New Jersey 07030		
Phone Number:	201-963-5572	Fax Number:	201-963-3782
E-mail address	lkish@nhudsonsa.com		

2021 (2021-2022) APPROVAL CERTIFICATION


NORTH HUDSON SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the North Hudson Sewerage Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 19th day of November, 2020.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	Alejandro Velazquez		
Title:	Secretary		
Address:	1600 Adams St. Hoboken, New Jersey 07030		
Phone Number:	201-963-6043	Fax Number:	201-963-3907
E-mail address	avelazquez@ucnj.com		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address:	www.nhudsonsa.com
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All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information (**Similar Information is such as PIE Charts, Bar Graphs etc. for such items as Revenues, Expenditures, and other information the Authority deems relevant to inform the public**)
- The complete (All Pages) annual audits (Not the Audit Synopsis) of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- The approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

Linda Kish

Title of Officer Certifying compliance

Chief Financial Officer

Signature

Linda Kish

**2021 (2021-2022) AUTHORITY BUDGET RESOLUTION
NORTH HUDSON SEWERAGE AUTHORITY**

MOTIONED BY: SOARES
SECONDED BY: FRIEDRICH

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

WHEREAS, the Annual Budget and Capital Budget for the North Hudson Sewerage Authority for the fiscal year beginning, February 1, 2021 and ending, January 31, 2022 has been presented before the governing body of the North Hudson Sewerage Authority at its open public meeting of November 19, 2020; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$54,935,000.00 Total Appropriations, including any Accumulated Deficit if any, of \$53,926,714.00 and Total Unrestricted Net Position utilized of \$-0-; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$28,325,000 and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$3,000,000; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the North Hudson Sewerage Authority, at an open public meeting held on November 19, 2020 that the Annual Budget, including all related schedules, and the Capital Budget/Program of the North Hudson Sewerage Authority for the fiscal year beginning, February 1, 2021 and ending, January 31, 2022 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

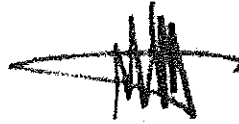
BE IT FURTHER RESOLVED, that the governing body of the North Hudson Sewerage Authority will consider the Annual Budget and Capital Budget/Program for adoption on January 21, 2021.

DATED: NOVEMBER 19, 2020

RECORD OF COMMISSIONERS' VOTE

	YES	NO	ABSENT
Commissioner Soares	x		
Commissioner Kappock	x		
Commissioner Marotta	x		
Commissioner Gardiner	x		
Commissioner Friedrich	x		
Commissioner Sanchez			x
Commissioner Velazquez	x		
Commissioner Roque	x		
Commissioner White	x		

THIS IS TO CERTIFY THAT THIS RESOLUTION WAS DULY ADOPTED BY THE NORTH HUDSON BOARD OF COMMISSIONERS ON NOVEMBER 19, 2020.



SECRETARY

**NORTH HUDSON SEWERAGE AUTHORITY
RESOLUTION TO AMEND THE FEBRUARY 1, 2021 – JANUARY 31, 2022
APPROVED BUDGET**

MOTIONED BY: MAROTTA

SECONDED BY: GARDINER

WHEREAS, the North Hudson Sewerage Authority has approved the February 1, 2021 – January 31, 2022 Authority Budget on November 19, 2020 and

WHEREAS, the North Hudson Sewerage Authority finds it necessary to amend the February 1, 2021 – January 31, 2022 approved Authority Budget, as follows:

	<u>From</u>	<u>To</u>
<u>Operating Revenues:</u>		
Service Charges	\$ 46,050,000	\$ 45,550,000
<u>Total Operating Revenues</u>	\$ 53,600,000	\$ 53,100,000
<u>Total Anticipated Revenues</u>	\$ 54,935,000	\$ 54,435,000
<u>Non-Operating Appropriations:</u>		
Renewal & Replacement Reserve	\$ 4,000,000	\$ 3,500,000
<u>Total Net Appropriations</u>	\$ 53,926,714	\$ 53,426,714

NOW, THEREFORE BE IT RESOLVED, by the Commissioners of the North Hudson Sewerage Authority that the February 1, 2021 – January 31, 2022 Authority Budget is hereby amended as detailed above, and

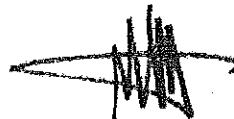
BE IT FURTHER RESOLVED, that the Board's secretary is hereby directed to submit a copy of this resolution to the Director of Local Government Services for approval as part of the Authority's February 1, 2021 – January 31, 2022 budget.

DATED: JANUARY 21, 2021

RECORD OF COMMISSIONERS' VOTE

	YES	NO	ABSENT
Commissioner Soares		x	
Commissioner Kappock	x		
Commissioner Marotta	x		
Commissioner Gardiner		x	
Commissioner Friedrich	x		
Commissioner Sanchez	x		
Commissioner Velazquez	x		
Commissioner Roque	x		
Commissioner White	x		

THIS IS TO CERTIFY THAT THIS RESOLUTION WAS DULY ADOPTED BY THE NORTH HUDSON BOARD OF COMMISSIONERS ON JANUARY 21, 2021.



SECRETARY


2021 (2021-2022) ADOPTION CERTIFICATION

NORTH HUDSON SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the North Hudson Sewerage Authority, pursuant to N.J.A.C. 5:31-2.3, on the 18th day of February, 2021.

Officer's Signature:			
Name:	Alejandro Velazquez		
Title:	Secretary		
Address:	1600 Adams St. Hoboken, New Jersey 07030		
Phone Number:	201-963-6043	Fax Number:	201-963-3907
E-mail address	avelazquez@ucnj.com		

**2021(2021-2022) ADOPTED BUDGET RESOLUTION
NORTH HUDSON SEWERAGE AUTHORITY**

MOTIONED BY: Marotta
SECONDED BY: Friedrich

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

WHEREAS, the Annual Budget and Capital Budget/Program for the North Hudson Sewerage Authority for the fiscal year beginning February 1, 2021 and ending, January 31, 2022 has been presented for adoption before the governing body of the North Hudson Sewerage Authority at its open public meeting of February 18, 2021; and

WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$54,435,000, Total Appropriations, including any Accumulated Deficit, if any, of \$53,426,714 and Total Unrestricted Net Position utilized of \$-0-; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$28,325,000 and Total Unrestricted Net Position planned to be utilized of \$3,000,000; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of North Hudson Sewerage Authority, at an open public meeting held on February 18, 2021 that the Annual Budget and Capital Budget/Program of the North Hudson Sewerage Authority for the fiscal year beginning, February 1, 2021 and, ending, January 31, 2022 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

DATED: FEBRUARY 18, 2021

RECORD OF COMMISSIONERS' VOTE

	YES	NO	ABSENT
Commissioner Soares	x		
Commissioner Kappock			x
Commissioner Marotta	x		
Commissioner Gardiner	x		
Commissioner Friedrich	x		
Commissioner Guzman	x		
Commissioner Velazquez	x		
Commissioner Roque	x		
Commissioner White	x		

THIS IS TO CERTIFY THAT THIS RESOLUTION WAS DULY ADOPTED BY THE NORTH HUDSON BOARD OF COMMISSIONERS ON FEBRUARY 18, 2021.



2021 (2021-2022) AUTHORITY BUDGET

Narrative and Information Section

2021 (2021-2022) AUTHORITY BUDGET MESSAGE & ANALYSIS NORTH HUDSON SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2021/2021-2022 proposed Annual Budget and make comparison to the 2020/2020-2021 adopted budget for each Revenues and Appropriations. Explain any variances over +/-10% (As shown on budget pages F-2 and F-4 explain the reason for changes for each revenue and appropriation changing more than 10%) for each individual revenue and appropriation line item. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item.

The Authority's FY 2022 Net Total Appropriations are \$53,426,714, which is an increase of \$934,598, or 1.8%, from FY 2021.

Anticipated revenue for FY 2022 is \$54,435,000, a \$504,000 decrease over the prior year. The Authority's sewer user rate, which is based on consumption, is anticipated to increase 2% effective August 1, 2021. However, the Authority is cognizant of the projected downward trend in water consumption as well as decreased consumption anticipated as a lingering effect of the COVID-19 pandemic, and has projected a decrease in expected flow of approximately 2%. In addition, the Authority plans to increase its facility charge by \$1 per quarter effective August 1, 2021.

Connection fees decreased by \$1,100,000 or 35.5%. This decrease is based on revenue realized in the current year and the lingering effects of the COVID-19 pandemic.

Interest on investments and deposits decreased by \$525,000, or 61.8%. This decrease is based on revenue realized for interest in the current year and the current interest rate market.

Renewal and Replacement Reserve decreased by \$500,000, or 12.5%. The Renewal and Replacement Reserve is fully funded in accordance with the bond resolution at \$11,000,000. This line item represents projects to be undertaken and funded by budget appropriations. There are less Renewal and Replacement projects planned for FY 2022 than FY 2021.

2. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program. (Example Consider New Development projects such as Housing /Commercial projects impact on the Authorities expenses or revenues)

The local economy is stable and should have no significant impact on the Authority's budget.

3. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget and or Capital Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

The Authority is using \$3,000,000 of unrestricted net position in its Capital Budget to reduce the amount needed to be borrowed to complete capital projects.

4. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service payments, pilot payments, or other types of contracts or agreements (Example to provide police services to the Authority etc. and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

\$1,600,000 is budgeted in the current year to be paid as part of Inter-local agreements between the Authority and its constituent municipalities. These Inter-local agreements provide a means for the Authority to manage fats, oils and grease which would otherwise enter the Authority's collection system, and to manage storm water controls.

5. The proposed budget must not reflect an anticipated deficit from 2021/2021-2022 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

(Prepare a response to deficits in most recent audit report pertaining to Deficits to Unrestricted Net Position caused by recording Pension and Post-Employment Benefits liabilities as required by GASB 68 and GASB 75) and similar types of deficits in the audit report. How would these deficits be funded?

Not Applicable

6. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) **if it has been changed since the prior year budget submission** and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, **if applicable**. (If no changes to fees or rates indicate (Answer as "Rates Are Staying the Same")

The current sewer user rate is \$9.75 per 1,000 gallons of water. The sewer user rate is anticipated to increase 2.0% to \$9.95 effective August 1, 2021.

The current facility charge is \$72 per year for each service unit. The facility charge is expected to increase to \$76 per year for each service unit, effective August 1, 2021.

The current connection fee is \$10,534 per service unit. The connection fee is calculated annually in accordance with the State Statutes and will be adjusted as necessary.

AUTHORITY CONTACT INFORMATION 2021 (2021-2022)

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:	North Hudson Sewerage Authority		
Federal ID Number:	22-2974916		
Address:	1600 Adams St.		
City, State, Zip:	Hoboken	NJ	07030
Phone: (ext.)	201-963-6043	Fax:	201-963-3907

Preparer's Name:	Linda Kish		
Preparer's Address:	1600 Adams St.		
City, State, Zip:	Hoboken	NJ	07030
Phone: (ext.)	201-963-5572	Fax:	201-963-3782
E-mail:	lkish@nhudsonsa.com		

Chief Executive Officer:	Dr. Richard J. Wolff		
Phone: (ext.)	201-963-6043	Fax:	201-963-3907
E-mail:	rwofff@nhudsonsa.com		

Chief Financial Officer:	Linda Kish		
Phone: (ext.)	201-963-5572	Fax:	201-963-3782
E-mail:	lkish@nhudsonsa.com		

Name of Auditor:	Paul Garbarini		
Name of Firm:	Garbarini & Co. P.C.		
Address:	Division Ave. and Rt. 17 South		
City, State, Zip:	Carlstadt	NJ	07072
Phone: (ext.)	201-933-5566	Fax:	201-933-0221
E-mail:	pwgarbarini@garbarinicpa.com		

AUTHORITY INFORMATIONAL QUESTIONNAIRE NORTH HUDSON SEWERAGE AUTHORITY

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in (Use Most Recent W-3 Available 2019 or 2020) as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 18
- 2) Provide the amount of total salaries and wages as reported on the Authority's Form W-3, (Use Most Recent W-3 Available 2019 or 2020) Transmittal of Wage and Tax Statements: \$897,973
- 3) Provide the number of regular voting members of the governing body: 2
- 4) Provide the number of alternate voting members of the governing body: 0
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? YES. Commissioner Brian Kappock, an attorney, has worked with clients of Commissioner Anthony Soares, a licensed real estate agent, on some real estate transactions.
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year (Most Recent Filing that March 31, 2020 or 2021 deadline has passed 2020 or 2021) because of their relationship with the Authority file the form as required? (Checked to see if individuals actually filed at <http://www.state.nj.us/dca/divisions/dlgs/resources/fds.html> before answering) No. Commissioner Sanchez still needs to file her statement.
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? No
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? No
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? No
 - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? No
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. YES. During 2020 an annuity was purchased for the Executive Director, Dr. Richard Wolff, in the amount of \$25,000 in accordance with the terms of his employment agreement. The Authority is the beneficiary.
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. The Commissioners' stipends are set by terms of the service agreements enacted by the municipalities of Hoboken, Union City, Weehawken and West New York. The annual stipends are \$5,000 for each Commissioner with no additional stipends for officers. Compensation for staff listed on page N-4 is determined as follows: First, a review is undertaken by the Finance Committee comprised of three Commissioners. The review consists of discussions of performance. In some instances, the Authority has comparative compensation reviews from data gathered by an outside financial consultant. Second, when the Finance Committee has determined the terms of employment, written employment agreements are drawn up. Except for the Billing Manager, all staff listed on page N-4 have written employment agreements. Third, the Finance Committee votes on the employment agreements, sending them to the full Board for review and action. Fourth, the full Authority Board of nine Commissioners considers the employment agreements and votes on them.

- 11) Did the Authority pay for meals or catering during the current fiscal year? No
- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? No
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority?
- a. First class or charter travel No
 - b. Travel for companions No
 - c. Tax indemnification and gross-up payments No
 - d. Discretionary spending account No
 - e. Housing allowance or residence for personal use No
 - f. Payments for business use of personal residence No
 - g. Vehicle/auto allowance or vehicle for personal use No
 - h. Health or social club dues or initiation fees No
 - i. Personal services (i.e.: maid, chauffeur, chef) No
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? Yes
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? No
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? No
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? Yes
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? No
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? No

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS
NORTH HUDSON SEWERAGE AUTHORITY**

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: (Use the Most Recent W-2 available 2019 or 2020. The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2021, the most recent W-2 and 1099 should be used 2020 or 2019 (60 days prior to start of budget year is November 1, 2020, with 2019 being the most recent calendar year ended), and for fiscal years ending June 30, 2021, the calendar year 2020 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2021, with 2020 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

NORTH HUDSON SEWERAGE AUTHORITY
 For the Period February 1, 2021 to January 31, 2022
 Position (Can Check more than 1 Column for each person)

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Officer	Key Employee	Highest Compensated Employee	Former Employee	Base Salary/ Stipend	Bonus	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body (1) See note below	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/ 1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities	
1 Edward Friedrich	Commissioner	1	X					5,000			5,000	None					5,000		
2 Kurt Gardiner	Commissioner	2	X					5,000			5,000	None					5,000		
3 Brian Kappock	Commissioner	20	X					5,000		13,691	18,691	County of Hudson	Investigator	24	22,000		27,000		
4 Libero Marotta	Commissioner	5	X					5,000			5,000	None					18,691		
5 Joseph Roque	Commissioner	5	X					5,000			5,000	Town of WNY	Clerk 1	40	75,000	25,024	105,024		
6 Myrli Sanchez	Commissioner	5	X					5,000			5,000	None					5,000		
7 Anthony Soares	Commissioner	3	X					5,000			5,000	None					5,000		
8 Alex Velazquez	Commissioner	5	X					5,000			5,000	City of UC; State of N. Supervisor Health		57	100,000	28,801	133,801		
9 Erika White	Commissioner	3	X					5,000		54,239	322,588	HC Schools of Technic Counselor		35	72,567	24,656	102,223		
10 Richard Wolff	Executive Director	37		X			268,949					None							
11 Fredric Pucci	Authority Engineer	30			X		198,605			35,908	232,513	None							
12 Linda Kish	CFO	32		X			11,250		1,700		12,950	None							
13 DGDT, LLC	CFO	16		X			111,120				111,120	None							
14 Karen Insinga	Billing Manager	35				X	111,518		30,541		142,059	None							
15																			
Total:								\$ 745,842	\$ -	\$ -	\$ 134,079	\$ 879,921			\$ 269,567	\$ 78,481	\$ 1,227,969		

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

Schedule of Health Benefits - Detailed Cost Analysis

NORTH HUDSON SEWERAGE AUTHORITY

For the Period February 1, 2021 to

January 31, 2022

If Not Applicable X this box Below

	# of Covered Members (Medical & Rx)		Annual Cost Estimate per Employee Proposed Budget		Total Cost Estimate Proposed Budget		# of Covered Members (Medical & Rx) Current Year		Annual Cost per Employee Current Year		Total Prior year Year Cost (Decrease)		% Increase (Decrease)
	Proposed Budget	Current Year	Proposed Budget	Current Year	Proposed Budget	Current Year	Current Year	Current Year	Current Year	Current Year	Year	Year	
Active Employees - Health Benefits - Annual Cost													
Single Coverage	3		\$ 13,691		\$ 41,073		3		\$ 14,053		\$ 42,159		-2.6%
Parent & Child	2		23,056		46,112		2		23,704		47,408		-2.7%
Employee & Spouse (or Partner)													#DIV/0!
Family	2		38,719		77,438		2		38,719		77,438		0.0%
Employee Cost Sharing Contribution (enter as negative -)					(39,070)						(39,700)		-1.6%
Subtotal	7				125,553		7				127,305		-1.4%
Commissioners - Health Benefits - Annual Cost													
Single Coverage	1		13,691		13,691		1		14,053		14,053		-2.6%
Parent & Child													#DIV/0!
Employee & Spouse (or Partner)													#DIV/0!
Family													#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)					(616)						(632)		-2.5%
Subtotal	1				13,075		1				13,421		-2.6%
Retirees - Health Benefits - Annual Cost													
Single Coverage	1		10,670		10,670		1		10,455		10,455		2.1%
Parent & Child													#DIV/0!
Employee & Spouse (or Partner)	2		27,884		55,768		2		26,649		53,298		4.6%
Family	1		33,908		33,908		1		33,998		33,998		-0.3%
Employee Cost Sharing Contribution (enter as negative -)													#DIV/0!
Subtotal	4				100,346		4				97,751		2.7%
GRAND TOTAL	12				\$ 238,974		12				\$ 238,477		0.2%

Yes	Yes or No
No	Yes or No

Is medical coverage provided by the SHBP (Yes or No)? (Place Answer in Box)
 Is prescription drug coverage provided by the SHBP (Yes or No)? (Place Answer in Box)

Note: Remember to Enter an amount in rows for Employee Cost Sharing

2021 (2021-2022) AUTHORITY BUDGET

Financial Schedules Section

SUMMARY

NORTH HUDSON SEWERAGE AUTHORITY
 For the Period February 1, 2021 to January 31, 2022

	FY 2022 Proposed Budget						FY 2021 Adopted Budget	Total All Operations	Total All Operations	All Operations	All Operations	% Increase (Decrease) Proposed vs. Adopted				
	Sewer	N/A	N/A	N/A	N/A	N/A							Total All Operations	Total All Operations	Total All Operations	Total All Operations
	\$	-	-	-	-	-							\$	\$	\$	\$
REVENUES																
Total Operating Revenues	\$ 53,100,000	-	-	-	-	-	\$ 53,100,000	\$ 53,154,000	\$ (54,000)	-0.1%						
Total Non-Operating Revenues	1,335,000	-	-	-	-	-	1,335,000	1,785,000	(450,000)	-25.2%						
Total Anticipated Revenues	54,435,000	-	-	-	-	-	54,435,000	54,939,000	(504,000)	-0.9%						
APPROPRIATIONS																
Total Administration	3,628,100	-	-	-	-	-	3,628,100	3,456,600	171,500	5.0%						
Total Cost of Providing Services	20,497,000	-	-	-	-	-	20,497,000	19,471,795	1,025,205	5.3%						
Total Principal Payments on Debt Service in Lieu of Depreciation	12,259,352	-	-	-	-	-	12,259,352	11,672,112	587,240	5.0%						
Total Operating Appropriations	36,384,452	-	-	-	-	-	36,384,452	34,600,507	1,783,945	5.2%						
Total Interest Payments on Debt	13,542,262	-	-	-	-	-	13,542,262	13,891,609	(349,347)	-2.5%						
Total Other Non-Operating Appropriations	3,500,000	-	-	-	-	-	3,500,000	4,000,000	(500,000)	-12.5%						
Total Non-Operating Appropriations	17,042,262	-	-	-	-	-	17,042,262	17,891,609	(849,347)	-4.7%						
Accumulated Deficit	-	-	-	-	-	-	-	-	-	#DIV/0!						
Total Appropriations and Accumulated Deficit	53,426,714	-	-	-	-	-	53,426,714	52,492,116	934,598	1.8%						
Less: Total Unrestricted Net Position Utilized	-	-	-	-	-	-	-	-	-	#DIV/0!						
Net Total Appropriations	53,426,714	-	-	-	-	-	53,426,714	52,492,116	934,598	1.8%						
ANTICIPATED SURPLUS (DEFICIT)	\$ 1,008,286	-	-	-	-	-	\$ 1,008,286	\$ 2,446,884	\$ (1,438,598)	-58.8%						

Revenue Schedule

NORTH HUDSON SEWERAGE AUTHORITY

For the Period February 1, 2021 to January 31, 2022

	FY 2022 Proposed Budget						FY 2021 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	
							Total All Operations	Total All Operations	All Operations	
OPERATING REVENUES										
<i>Service Charges</i>										
Residential	39,628,500						\$ 39,628,500	\$ 38,805,480	\$ 823,020	2.1%
Business/Commercial	4,555,000						4,555,000	4,460,400	94,600	2.1%
Industrial							-	-	-	#DIV/0!
Intergovernmental	1,366,500						1,366,500	1,338,120	28,380	2.1%
Other							-	-	-	#DIV/0!
Total Service Charges	45,550,000						45,550,000	44,604,000	946,000	2.1%
<i>Connection Fees</i>										
Residential	2,000,000						2,000,000	3,100,000	(1,100,000)	-35.5%
Business/Commercial							-	-	-	#DIV/0!
Industrial							-	-	-	#DIV/0!
Intergovernmental							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Connection Fees	2,000,000						2,000,000	3,100,000	(1,100,000)	-35.5%
<i>Parking Fees</i>										
Meters							-	-	-	#DIV/0!
Permits							-	-	-	#DIV/0!
Fines/Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Parking Fees							-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>										
Facility Charges	5,550,000						5,550,000	5,450,000	100,000	1.8%
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Total Other Revenue	5,550,000						5,550,000	5,450,000	100,000	1.8%
Total Operating Revenues	53,100,000						53,100,000	53,154,000	(54,000)	-0.1%
NON-OPERATING REVENUES										
<i>Other Non-Operating Revenues (List)</i>										
Solar Renewable Energy Credits	35,000						35,000	35,000	-	0.0%
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Total Other Non-Operating Revenue	35,000						35,000	35,000	-	0.0%
<i>Interest on Investments & Deposits (List)</i>										
Interest Earned	325,000						325,000	850,000	(525,000)	-61.8%
Penalties	975,000						975,000	900,000	75,000	8.3%
Other							-	-	-	#DIV/0!
Total Interest	1,300,000						1,300,000	1,750,000	(450,000)	-25.7%
Total Non-Operating Revenues	1,335,000						1,335,000	1,785,000	(450,000)	-25.2%
TOTAL ANTICIPATED REVENUES	\$ 54,435,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 54,435,000	\$ 54,939,000	\$ (504,000)	-0.9%

Prior Year Adopted Revenue Schedule

NORTH HUDSON SEWERAGE AUTHORITY

FY 2021 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING REVENUES							
<i>Service Charges</i>							
Residential	38,805,480						\$ 38,805,480
Business/Commercial	4,460,400						4,460,400
Industrial							-
Intergovernmental	1,338,120						1,338,120
Other							-
Total Service Charges	44,604,000	-	-	-	-	-	44,604,000
<i>Connection Fees</i>							
Residential	3,100,000						3,100,000
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Connection Fees	3,100,000	-	-	-	-	-	3,100,000
<i>Parking Fees</i>							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
Total Parking Fees	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
Facility Charges	5,450,000						5,450,000
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Total Other Revenue	5,450,000	-	-	-	-	-	5,450,000
Total Operating Revenues	53,154,000	-	-	-	-	-	53,154,000
NON-OPERATING REVENUES							
<i>Other Non-Operating Revenues (List)</i>							
Solar Renewable Energy Credits	35,000						35,000
Type in							-
Type in							-
Type in							-
Type in							-
Type in							-
Other Non-Operating Revenues	35,000	-	-	-	-	-	35,000
<i>Interest on Investments & Deposits</i>							
Interest Earned	850,000						850,000
Penalties	900,000						900,000
Other							-
Total Interest	1,750,000	-	-	-	-	-	1,750,000
Total Non-Operating Revenues	1,785,000	-	-	-	-	-	1,785,000
TOTAL ANTICIPATED REVENUES	\$ 54,939,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 54,939,000

Appropriations Schedule

NORTH HUDSON SEWERAGE AUTHORITY

For the Period February 1, 2021 to January 31, 2022

	FY 2022 Proposed Budget						FY 2021 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	
OPERATING APPROPRIATIONS										
<i>Administration - Personnel</i>										
Salary & Wages	\$ 1,180,000						\$ 1,180,000	\$ 1,135,000	\$ 45,000	4.0%
Fringe Benefits	535,500						535,500	527,000	8,500	1.6%
Total Administration - Personnel	1,715,500						1,715,500	1,662,000	53,500	3.2%
<i>Administration - Other (List)</i>										
Trustee/Rating Agency Fees	320,000						320,000	320,000	-	0.0%
Insurance	340,000						340,000	325,000	15,000	4.6%
Type in Description							-	-	-	#DIV/0!
Type in Description							-	-	-	#DIV/0!
Miscellaneous Administration*	1,252,600						1,252,600	1,149,600	103,000	9.0%
Total Administration - Other	1,912,600						1,912,600	1,794,600	118,000	6.6%
Total Administration	3,628,100						3,628,100	3,456,600	171,500	5.0%
<i>Cost of Providing Services - Personnel</i>										
Salary & Wages							-	-	-	#DIV/0!
Fringe Benefits							-	-	-	#DIV/0!
Total COPS - Personnel							-	-	-	#DIV/0!
<i>Cost of Providing Services - Other (List)</i>										
See Detail	19,367,000						19,367,000	18,426,795	940,205	5.1%
Type in Description							-	-	-	#DIV/0!
Type in Description							-	-	-	#DIV/0!
Type in Description							-	-	-	#DIV/0!
Miscellaneous COPS*	1,130,000						1,130,000	1,045,000	85,000	8.1%
Total COPS - Other	20,497,000						20,497,000	19,471,795	1,025,205	5.3%
Total Cost of Providing Services	20,497,000						20,497,000	19,471,795	1,025,205	5.3%
Total Principal Payments on Debt Service in Lieu of Depreciation	12,259,352						12,259,352	11,672,112	587,240	5.0%
Total Operating Appropriations	36,384,452						36,384,452	34,600,507	1,783,945	5.2%
NON-OPERATING APPROPRIATIONS										
Total Interest Payments on Debt	13,542,262						13,542,262	13,891,609	(349,347)	-2.5%
Operations & Maintenance Reserve							-	-	-	#DIV/0!
Renewal & Replacement Reserve	3,500,000						3,500,000	4,000,000	(500,000)	-12.5%
Municipality/County Appropriation							-	-	-	#DIV/0!
Other Reserves							-	-	-	#DIV/0!
Total Non-Operating Appropriations	17,042,262						17,042,262	17,891,609	(849,347)	-4.7%
TOTAL APPROPRIATIONS	53,426,714						53,426,714	52,492,116	934,598	1.8%
ACCUMULATED DEFICIT										
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	53,426,714						53,426,714	52,492,116	934,598	1.8%
UNRESTRICTED NET POSITION UTILIZED										
Municipality/County Appropriation							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Unrestricted Net Position Utilized							-	-	-	#DIV/0!
TOTAL NET APPROPRIATIONS	\$ 53,426,714	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 53,426,714	\$ 52,492,116	\$ 934,598	1.8%

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 1,819,222.60 \$ - \$ - \$ - \$ - \$ - \$ - \$ 1,819,222.60

NORTH HUDSON SEWERAGE AUTHORITY
 For the Period February 1, 2021

	FY 2022 Proposed Budget			FY 2021 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Sewer	N/A	N/A	Total All Operations	Total All Operations	All Operations All Operations
OPERATING APPROPRIATIONS						
<i>Cost of Providing Services - Other (List)</i>						
Treatment Plant Operations	12,172,000			12,172,000	11,474,000	698,000 6.1%
Treatment - JCMUA	2,715,000			2,715,000	2,602,795	112,205 4.3%
Utilities	2,080,000			2,080,000	2,000,000	80,000 4.0%
FOG and SWC	1,600,000			1,600,000	1,600,000	- 0.0%
Collection System Repairs	800,000			800,000	750,000	50,000 6.7%
Total COPS - Other	19,367,000	-	-	19,367,000	18,426,795	940,205 5.1%

Prior Year Adopted Appropriations Schedule

NORTH HUDSON SEWERAGE AUTHORITY

FY 2021 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages	\$ 1,135,000						\$ 1,135,000
Fringe Benefits	527,000						527,000
Total Administration - Personnel	1,662,000	-	-	-	-	-	1,662,000
<i>Administration - Other (List)</i>							
Trustee/Rating Agency Fees	320,000						320,000
Insurance	325,000						325,000
Type In Description							-
Type In Description							-
Miscellaneous Administration*	1,149,600						1,149,600
Total Administration - Other	1,794,600	-	-	-	-	-	1,794,600
Total Administration	3,456,600	-	-	-	-	-	3,456,600
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages							-
Fringe Benefits							-
Total COPS - Personnel		-	-	-	-	-	-
<i>Cost of Providing Services - Other (List)</i>							
See Detail	18,426,795						18,426,795
Type In Description							-
Type In Description							-
Type In Description							-
Miscellaneous COPS*	1,045,000						1,045,000
Total COPS - Other	19,471,795	-	-	-	-	-	19,471,795
Total Cost of Providing Services	19,471,795	-	-	-	-	-	19,471,795
Total Principal Payments on Debt Service in Lieu of Depreciation	11,672,112	-	-	-	-	-	11,672,112
Total Operating Appropriations	34,600,507	-	-	-	-	-	34,600,507
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt	13,891,609	-	-	-	-	-	13,891,609
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve	4,000,000						4,000,000
Municipality/County Appropriation							-
Other Reserves							-
Total Non-Operating Appropriations	17,891,609	-	-	-	-	-	17,891,609
TOTAL APPROPRIATIONS	52,492,116	-	-	-	-	-	52,492,116
ACCUMULATED DEFICIT							-
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	52,492,116	-	-	-	-	-	52,492,116
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation							-
Other							-
Total Unrestricted Net Position Utilized		-	-	-	-	-	-
TOTAL NET APPROPRIATIONS	\$ 52,492,116	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 52,492,116

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 1,730,025.35 \$ - \$ - \$ - \$ - \$ - \$ 1,730,025.35

NORTH HUDSON SEWERAGE AUTHORITY

FY 2021 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	Total All Operations
OPERATING APPROPRIATIONS						
<i>Cost of Providing Services - Other (List)</i>						
Treatment Plant Operations	11,474,000					11,474,000
Treatment - JCMUA	2,602,795					2,602,795
Utilities	2,000,000					2,000,000
FOG and SWC	1,600,000					1,600,000
Collection System Repairs	750,000					750,000
Total COPS - Other	18,426,795	-	-	-	-	18,426,795

If Authority has no debt X this box

NORTH HUDSON SEWERAGE AUTHORITY

Fiscal Year Ending in

	Adopted Budget Year 2021	Proposed					Total Principal Outstanding				
		Budget Year 2022	2023	2024	2025	2026		2027	Thereafter		
Bonds:											
2012 A	\$ 3,760,000	\$ 3,955,000	\$ 3,805,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,760,000
2012 B	100,000	540,000	1,045,000	3,795,000	4,005,000	4,220,000	4,450,000	115,770,000	4,450,000	133,825,000	133,825,000
2019	1,000,000	1,000,000	1,000,000	2,605,000	3,170,000	3,380,000	3,640,000	144,830,000	3,640,000	159,625,000	159,625,000
Total Principal - Bonds	4,860,000	5,495,000	5,850,000	6,400,000	7,175,000	7,600,000	8,090,000	260,600,000	8,090,000	301,210,000	
NJIB:											
2002	1,900,782	1,955,282	1,996,934	606,902	611,902	616,902	626,902	1,775,070	626,902	1,775,070	5,432,482
2004	915,949	589,468	80,000	968,817	982,817	996,817	1,011,817	1,690,029	1,011,817	1,690,029	7,555,931
2005	482,983	484,636	485,730	339,717	250,293	95,000	56,000	-	56,000	-	1,411,444
2006	511,643	513,557	514,735	191,281	198,280	205,281	212,281	631,369	212,281	631,369	1,810,053
2008	218,000	-	-	90,356	94,681	94,274	93,855	515,106	93,855	515,106	1,065,403
2009	587,902	592,902	601,902	193,120	189,740	50,000	55,000	-	55,000	-	874,100
2010 A	935,817	946,817	958,817	968,817	982,817	996,817	1,011,817	1,690,029	1,011,817	1,690,029	7,555,931
2010 B	334,717	334,717	335,717	339,717	250,293	95,000	56,000	-	56,000	-	1,411,444
2010	177,281	184,281	187,280	191,281	198,280	205,281	212,281	631,369	212,281	631,369	1,810,053
2012	86,444	86,444	90,687	90,356	94,681	94,274	93,855	515,106	93,855	515,106	1,065,403
2013	188,120	193,120	193,120	193,120	189,740	50,000	55,000	-	55,000	-	874,100
2015	233,236	238,236	238,236	243,236	243,236	248,236	248,236	2,048,142	248,236	2,048,142	3,507,558
2016 (19)	162,441	162,441	167,441	167,441	167,441	172,441	172,440	1,838,593	172,440	1,838,593	2,848,238
2016 (26)	41,760	41,760	41,760	41,760	41,760	41,760	41,760	427,011	41,760	427,011	677,571
2018	35,037	35,037	35,037	35,037	35,037	35,037	35,037	915,794	35,037	915,794	1,126,016
2020 (22)	-	339,970	339,970	344,970	344,970	349,970	349,970	8,757,654	349,970	8,757,654	10,827,474
2020 (23)	-	65,684	65,684	65,684	65,684	70,684	70,684	1,737,176	70,684	1,737,176	2,141,280
Total Principal - NJIB	6,812,112	6,764,352	6,333,050	4,303,321	4,259,720	4,026,746	3,444,524	20,335,944	3,444,524	20,335,944	49,467,657
TOTAL PRINCIPAL ALL OPERATIONS	\$ 11,672,112	\$ 12,259,352	\$ 12,183,050	\$ 10,703,321	\$ 11,434,720	\$ 11,626,746	\$ 11,534,524	\$ 280,935,944	\$ 11,534,524	\$ 280,935,944	\$ 350,677,657

If Authority has no debt X this box

NORTH HUDSON SEWERAGE AUTHORITY

Fiscal Year Ending in

	Adopted Budget Year 2021	Proposed					Total Principal Outstanding		
		Budget Year 2022	2023	2024	2025	2026		2027	Thereafter
Sewer									
Bonds:									
2012 A	\$ 450,667	\$ 256,167	\$ 63,417	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 319,584
2012 B	7,128,299	7,112,876	7,076,959	6,929,822	6,723,392	6,505,770	6,276,345	55,878,522	96,503,686
2019	5,662,209	5,547,853	5,525,713	5,477,769	5,406,153	5,322,082	5,226,860	59,058,950	91,565,380
Total Interest - Bonds	13,241,175	12,916,896	12,666,089	12,407,591	12,129,545	11,827,852	11,503,205	114,937,472	188,388,650
NJIB:									
2002	135,250	82,875	28,000	-	-	-	-	-	110,875
2004	23,550	10,575	1,600	-	-	-	-	-	12,175
2005	38,550	31,675	24,600	18,000	11,000	3,700	-	-	88,975
2006	40,094	31,116	25,335	19,382	14,993	10,258	3,446	-	104,530
2008	5,450	-	-	-	-	-	-	-	-
2009	65,560	59,780	53,720	47,380	40,840	34,100	27,060	35,920	298,800
2010 A	116,400	104,420	91,980	79,100	65,740	51,820	37,320	29,440	459,820
2010 B	21,980	18,580	15,160	11,640	7,940	4,140	1,120	-	58,580
2010	64,105	59,030	53,705	48,205	42,430	36,305	29,830	54,383	323,888
2012	24,045	18,427	16,542	14,779	12,936	11,014	9,110	20,813	103,621
2013	12,300	10,600	8,800	6,775	4,400	2,400	825	-	33,800
2015	41,825	39,450	36,950	34,325	31,575	28,700	25,700	101,700	298,400
2016 (19)	40,950	38,950	36,825	34,575	32,325	29,950	27,450	139,900	339,975
2016 (26)	8,050	7,550	7,050	6,550	6,050	5,550	5,050	25,600	63,400
2018	12,325	12,075	11,825	11,575	11,325	11,075	10,825	128,350	197,050
2020 (22)	-	82,463	79,962	77,338	74,587	71,713	68,712	808,844	1,263,619
2020 (23)	-	17,800	17,300	16,800	16,300	15,675	14,925	174,275	273,075
Total Interest - NJIB	650,434	625,366	509,354	426,424	372,441	316,400	261,373	1,519,225	4,030,583
TOTAL INTEREST ALL OPERATIONS	\$ 13,891,609	\$ 13,542,262	\$ 13,175,443	\$ 12,834,015	\$ 12,501,986	\$ 12,144,252	\$ 11,764,578	\$ 116,456,697	\$ 192,419,233

Net Position Reconciliation

NORTH HUDSON SEWERAGE AUTHORITY
 For the Period February 1, 2021 to January 31, 2022

FY 2022 Proposed Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
TOTAL NET POSITION BEGINNING OF LATEST AUDIT REPORT YEAR(1)	\$ 127,474,962						\$ 127,474,962
Less: Invested in Capital Assets, Net of Related Debt (1)	57,178,904						57,178,904
Less: Restricted for Debt Service Reserve (1)	24,032,142						24,032,142
Less: Other Restricted Net Position (1)	11,000,000						11,000,000
Total Unrestricted Net Position (1)	35,263,916						35,263,916
Less: Designated for Non-Operating Improvements & Repairs							-
Less: Designated for Rate Stabilization							-
Less: Other Designated by Resolution							-
Plus: Accrued Unfunded Pension Liability (1)	1,440,686						1,440,686
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)	2,189,889						2,189,889
Plus: Estimated Income (Loss) on Current Year Operations (2)							-
Plus: Other Adjustments (attach schedule)							-

UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET

Unrestricted Net Position Utilized to Balance Proposed Budget	38,894,491						38,894,491
Unrestricted Net Position Utilized in Proposed Capital Budget	3,000,000						3,000,000
Appropriation to Municipality/County (3)							
Total Unrestricted Net Position Utilized in Proposed Budget	3,000,000						3,000,000

PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR

Last issued Audit Report (4)	\$ 35,894,491	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 35,894,491
------------------------------	---------------	------	------	------	------	------	---------------

- (1) Total of all operations for this line item must agree to audited financial statements.
- (2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.
- (3) Amount may not exceed 5% of total operating appropriations. See calculation below.
 - Maximum Allowable Appropriation to Municipality/County \$ 1,819,223 \$ - \$ - \$ - \$ - \$ 1,819,223
- (4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2021 (2021-2022)
NORTH HUDSON
SEWERAGE

AUTHORITY
CAPITAL
BUDGET/
PROGRAM

**2021 CERTIFICATION OF AUTHORITY CAPITAL
BUDGET/PROGRAM**

NORTH HUDSON SEWERAGE AUTHORITY

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022


[X]

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the North Hudson Sewerage Authority, on the 19th day of November, 2020.

OR

[]

It is hereby certified that the governing body of the _____ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s):

Officer's Signature:			
Name:	Alejandro Velazquez		
Title:	Secretary		
Address:	1600 Adams St. Hoboken, New Jersey 07030		
Phone Number:	201-963-6043	Fax Number:	201-963-3907
E-mail address	avelazquez@ucnj.com		

2021 (2021-2022) CAPITAL BUDGET/PROGRAM MESSAGE

NORTH HUDSON SEWERAGE AUTHORITY

FISCAL YEAR: FROM: FEBRUARY 1, 2021 TO: JANUARY 31, 2022

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program (This may include the governing body or certain officials such as planning boards, Construction Code Officials) as to these Projects?

Yes

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority?

Yes

3. Has a long-term (5 years or more) infrastructure needs and other capital items (Vehicles, Equipment) needs assessment been prepared?

Yes

4. If amounts are on Page CB-3 in the column Debt Authorizations. Indicate the primary source of funding the debt service for the Debt Authorizations (Example Rate Increases Funding or Other sources)

Projects are being financed through debt authorized, the New Jersey Environmental Infrastructure Trust, grants and the Authority's operating budget. Costs associated with the debt will be raised in the Authority budget as due.

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

All projects fall within the Metropolitan Planning Area.

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan.

All projects are within the boundary of a State Endorsed Plan.

Add additional sheets if necessary.

Proposed Capital Budget

NORTH HUDSON SEWERAGE AUTHORITY

For the Period February 1, 2021 to January 31, 2022

	Estimated Total Cost	Funding Sources			
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources
<i>Sewer</i>					
CSO LTCP	\$ 12,000,000			\$ 12,000,000	
Collection system improvements	14,000,000	1,000,000		13,000,000	
Cleaning, lining and rehab of sewers	2,000,000	2,000,000			
Green Infrastructure	325,000			325,000	
Total	28,325,000	3,000,000	-	25,325,000	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET	\$ 28,325,000	\$ 3,000,000	\$ -	\$ 25,325,000	\$ -

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

5 Year Capital Improvement Plan

NORTH HUDSON SEWERAGE AUTHORITY

For the Period February 1, 2021 to January 31, 2022

Fiscal Year Beginning in

	Estimated Total Cost	Current Budget					
		Year 2022	2023	2024	2025	2026	2027
<i>Sewer</i>							
CSO LTCP	\$ 58,000,000	\$ 12,000,000	\$ 8,000,000	\$ 4,000,000	\$ 13,000,000	\$ 16,000,000	\$ 5,000,000
Collection system improvement	19,000,000	14,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Cleaning, lining and rehab of sev	12,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Green Infrastructure	325,000	325,000					
Total	89,325,000	28,325,000	11,000,000	7,000,000	16,000,000	19,000,000	8,000,000
<i>N/A</i>							
Type In Description	-	-					
Type In Description	-	-					
Type In Description	-	-					
Type In Description	-	-					
Total	-	-	-	-	-	-	
<i>N/A</i>							
Type In Description	-	-					
Type In Description	-	-					
Type In Description	-	-					
Type In Description	-	-					
Total	-	-	-	-	-	-	
<i>N/A</i>							
Type In Description	-	-					
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Type In Description	-	-					
Type In Description	-	-					
Total	-	-	-	-	-	-	
<i>N/A</i>							
Type In Description	-	-					
Type In Description	-	-					
Type In Description	-	-					
Type In Description	-	-					
Total	-	-	-	-	-	-	
<i>N/A</i>							
Type In Description	-	-					
Type In Description	-	-					
Type In Description	-	-					
Type In Description	-	-					
Total	-	-	-	-	-	-	
TOTAL	\$ 89,325,000	\$ 28,325,000	\$ 11,000,000	\$ 7,000,000	\$ 16,000,000	\$ 19,000,000	\$ 8,000,000

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

NORTH HUDSON SEWERAGE AUTHORITY

For the Period February 1, 2021 to January 31, 2022

	Estimated Total Cost	Funding Sources			
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources
<i>Sewer</i>					
CSO LTCP	\$ 58,000,000			\$58,000,000	
Collection system improvement	19,000,000	6,000,000		13,000,000	
Cleaning, lining and rehab of se	12,000,000	12,000,000			
Green Infrastructure	325,000			325,000	
Total	<u>89,325,000</u>	<u>18,000,000</u>	-	<u>71,325,000</u>	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
TOTAL	<u>\$ 89,325,000</u>	<u>\$ 18,000,000</u>	\$ -	<u>\$71,325,000</u>	\$ - \$ -
Total 5 Year Plan per CB-4	<u>\$ 89,325,000</u>				

- If amount is other than zero, verify that projects listed above match projects listed on CB-4.

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.